

# Flag Algebras at Scale: Opening up new Horizons

Aldo Kiem (TUB / ZIB), Sebastian Pokutta (TUB / ZIB), and Christoph Spiegel (ZIB)

## The theory of Flag Algebras

Flag algebras are a **highly successful formalism** due to Razborov [6, 2] to study the limit behavior of combinatorial structures. For every universal first-order theory  $T$ , the fundamental object of study is a **partially ordered  $\mathbb{R}$ -algebra  $\mathcal{A}$**  that contains all models of the theory  $T$ .

### What can we define Flag Algebras for?

Theories for which flag algebras have been formulated in the past are those of **simple graphs**, vertex- or **edge-colored graphs**, **directed graphs**, oriented graphs, partially ordered sets, **k-uniform hyper-graphs** and permutations of finite sets. We can also study variants of these theories, for example in the form of **triangle-free graphs** or **3-uniform hypergraphs without independent sets of size 4**.

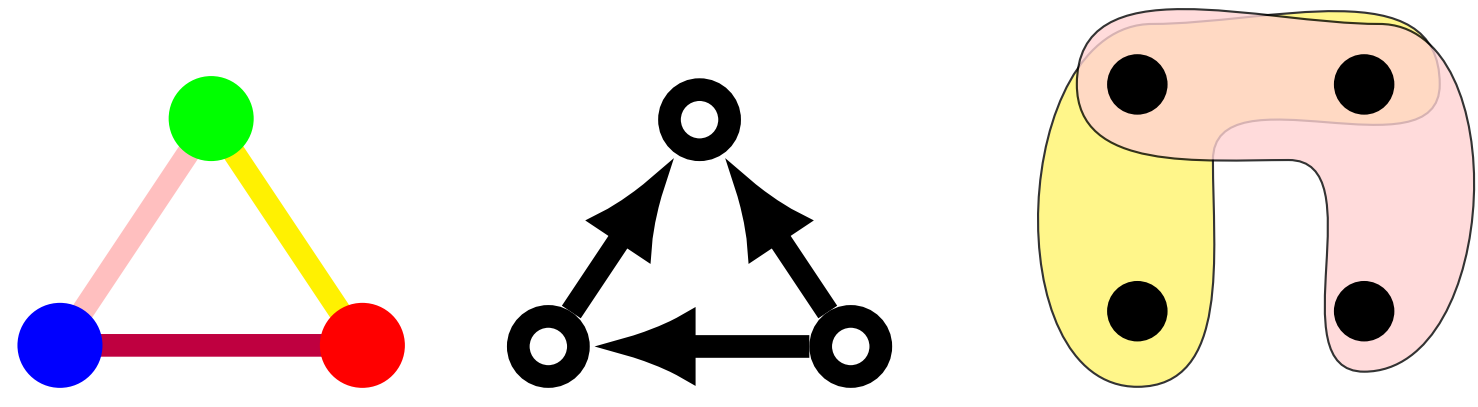


Figure 1. Some examples of combinatorial objects for which flag algebras have been explored in the past, from left to right: edge- and vertex-colored graphs, directed graphs, and 3-uniform hypergraphs.

## Restating combinatorial problems through Conic Optimization

Most open problems in the area consider an element  $f \in \mathcal{A}$  for a given theory  $T$  with the goal of finding a lower bound on  $f$ . Defining the *semantic cone* of  $\mathcal{A}$  as

$$\mathcal{S} = \{F \in \mathcal{A} \mid \phi(F) \geq 0 \text{ for all } \phi \in \text{Hom}^+(\mathcal{A}, \mathbb{R})\}, \quad (1)$$

the optimization problem we are interested in can be stated as determining

$$\max\{\lambda \mid f - c\emptyset \in \mathcal{S} \text{ and } c \in \mathbb{R}\}. \quad (2)$$

Truly optimizing over the semantic cone is computationally intractable, so a **relaxation is needed**.

### Problem relaxation: sums of squares

We approximate the semantic cones  $\mathcal{S}$  with the cone given by the vectors that are sums of squares in  $\mathcal{A}$ . A certificate of  $f - c\emptyset \in \mathcal{S}$ , i.e.,  $f - c \geq 0$  can be obtained from

$$f - c = \sum_{M \in \mathcal{A}} \lambda_M M + \sum_{\sigma} \sum_{i=1}^{i_{\sigma}} [g_{f,\sigma}^2]_{\sigma} \quad (3)$$

Here, the  $\sigma$  are fully labeled models, called **types**, inducing their own algebra  $\mathcal{A}^{\sigma}$ , in particular  $\mathcal{A}^{\emptyset} = \mathcal{A}$  for the empty type  $\emptyset$ , each  $g_{f,\sigma} \in \mathcal{A}^{\sigma}$  is an  $\mathbb{R}$ -linear combinations of  $\sigma$ -partially-labeled models, called **flags**, and  $[\cdot]_{\sigma}$  is the **unlabelling operator**. We can use **semi-definite programming** to determine such bounds. The asymptotic version of a famous result of Goodman [4], stating that in the limit any graph must contain at least 1/4 of all possible cliques and independent sets of size three, can for example be obtained through the following formulation:

$$\underbrace{\begin{array}{c} \bullet \\ \bullet \end{array}}_f + \underbrace{\begin{array}{c} \bullet \\ \bullet \end{array}}_c - \frac{1}{4} \cdot \emptyset = \underbrace{\left[ \left( \frac{\sqrt{3}}{2} \begin{array}{c} \bullet \\ \bullet \end{array} - \frac{\sqrt{3}}{2} \begin{array}{c} \bullet \\ \bullet \end{array} \right)^2 \right]}_{g^2} \underbrace{\begin{array}{c} \bullet \\ \bullet \end{array}}_{\sigma}$$

## Flag Algebras for Edge-Colored Graphs

### Previous results for three-edge-colored graphs

Cummings et al. [3] **extended the result of Goodman to three colors**, proving that

$$\begin{array}{c} \bullet \\ \bullet \end{array} + \begin{array}{c} \bullet \\ \bullet \end{array} + \begin{array}{c} \bullet \\ \bullet \end{array} \geq 0.04$$

This inequality is matched by the upper bound given by blow-ups based on the 3-Ramsey graph:

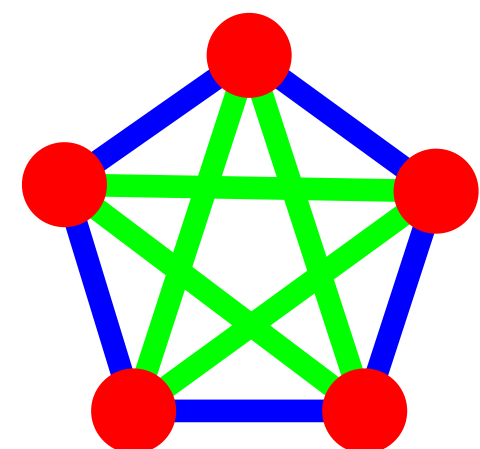


Figure 2. The unique graph on  $R(3, 3) - 1 = 5$  vertices not containing any triangles or their complement turned into a sequence of 3-edge-colored graphs through a blow-up construction.

Balogh et al. [1] showed that **rainbow triangles** have the following upper bound:

$$\begin{array}{c} \bullet \\ \bullet \end{array} \leq 0.4$$

A matching lower bound arises from an iterated blow-up construction of the following coloring:

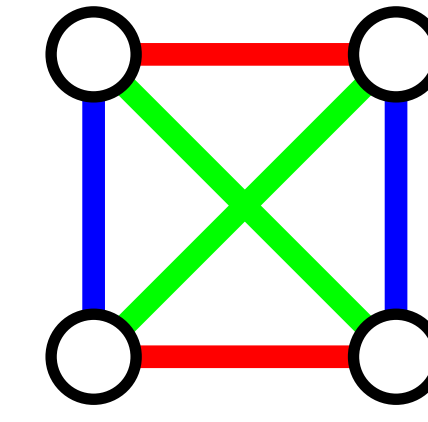


Figure 3. The base construction of the iterated blow-up sequence.

## Our Contribution

We **exploit symmetries in Flag Algebra formulations in order to reduce their size** and extend the envelope of what is attainable using this technique. Using a parameter-dependent notion of homomorphisms, we can for example **reduce SDPs for  $c$ -edge-colored graphs by a factor of up to  $c!$** . We also **block-diagonalize** the formulation, reducing the total number of variables.

Applying these methods, we can show for **four-edge-colored graphs** that

$$\begin{array}{c} \bullet \\ \bullet \end{array} + \begin{array}{c} \bullet \\ \bullet \end{array} + \begin{array}{c} \bullet \\ \bullet \end{array} + \begin{array}{c} \bullet \\ \bullet \end{array} \geq 0.000390625$$

extending the results of Goodman and Cummings et al. This is **matched by an upper bound** based on balanced blow-up of a triangle-free Ramsey 3-edge-coloring on  $R(3, 3, 3) - 1 = 17$  vertices. **Until now this value had been out of reach due to the size of the required SDP formulation.**

## Flag Algebras in Additive Combinatorics

There has been an increased interest in **the arithmetic analogue of these questions**. Graham, Rödl, and Ruciński [5] were perhaps the first to study this, when they gave a lower bound for the number of Schur triples in 2-colorings of the integers, while Saad and Wolf [7] more recently revived interest in this area. Despite their success for graph-based theories, **Flag Algebras so far have not been explored in this setting**. We take a first step in that direction by developing the required theory in the finite-field model, that is for linear systems in  $\mathbb{F}_q^n$ , and applying it to some previously studied problems.

## Our Contribution

We develop **two distinct notions of morphism** for colorings of  $\mathbb{F}_q^n$ . The first aligns with the traditional notion of homomorphisms of vector spaces and is relevant for **non-invariant additive structures such as Schur triples**. The second can be considered as an affine version and is useful when exclusively dealing with **invariant additive structures such as arithmetic progressions**.

$q/n$	1	2	3	4	5	$q/n$	1	2	3	4	5
2	4	8	20	92	2744	2	3	5	10	32	382
3	6	36	156	636		3	4	14	1028		
4	14	7724				4	8	1648			
5	12	72192				5	6	3324			

Table 1. Number of 2-colorings of  $\mathbb{F}_q^n$  up to isomorphism of the first (left) and the second type (right).

Using the resulting theory, **we improve previous results and extend important ideas from the theory of graph colorings**. Most importantly, we obtain upper and lower bounds on the number of 4-APs in colorings of  $\mathbb{F}_5^n$ , improving upon previous efforts of Saad and Wolf [7].

## References

- [1] J. Balogh, P. Hu, B. Lidický, F. Pfender, J. Volec, and M. Young. Rainbow triangles in three-colored graphs. *Journal of Combinatorial Theory, Series B*, 126:83–113, 2017.
- [2] L. N. Coregliano and A. A. Razborov. Semantic limits of dense combinatorial objects. *Russian Mathematical Surveys*, 75(4):627, 2020.
- [3] J. Cummings, D. Král', F. Pfender, K. Sperfeld, A. Treglown, and M. Young. Monochromatic triangles in three-coloured graphs. *Journal of Combinatorial Theory, Series B*, 103(4):489–503, 2013.
- [4] A. W. Goodman. On sets of acquaintances and strangers at any party. *The American Mathematical Monthly*, 66(9):778–783, 1959.
- [5] R. Graham, V. Rödl, and A. Ruciński. On schur properties of random subsets of integers. *journal of number theory*, 61(2):388–408, 1996.
- [6] A. A. Razborov. Flag algebras. *The Journal of Symbolic Logic*, 72(4):1239–1282, 2007.
- [7] A. Saad and J. Wolf. Ramsey multiplicity of linear patterns in certain finite abelian groups. *The Quarterly Journal of Mathematics*, 68(1):125–140, 2017.