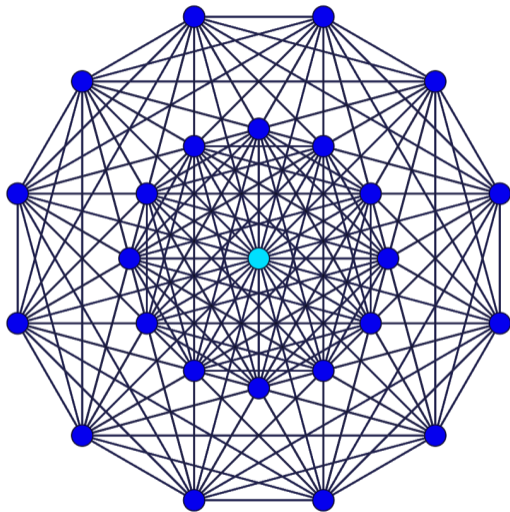


# Computer-Assisted Proofs in Extremal Combinatorics

Workshop on Optimization and Machine Learning  
Fraunhofer IIS, Waischenfeld

Christoph Spiegel (Zuse Institute Berlin)

13th of March 2023



## Results are joint work with (a sunflower of)...



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ZIB / TU Berlin



Olaf Parczyk  
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Tibor Szabó  
FU Berlin

*Research partially funded through Math+ projects EF1-12 and EF1-21*



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1. What we are interested in: *A Problem of Erdős* 2 slides
2. Obtaining upper bounds: *Graph Blowups and Search Heuristics* 2 slides
3. Obtaining lower bounds: *Flag Algebras and SDPs* 4 slides

# The Ramsey Multiplicity Problem

## Theorem (Ramsey 1930)

For any  $t \in \mathbb{N}$  there exists  $R_{t,t} \in \mathbb{N}$  such that any 2-edge-coloring of the complete graph of order at least  $R_{t,t}$  contains a monochromatic clique of size  $t$ .

### A well-known question

Can we determine  $R_{t_1, \dots, t_c}$ ?

### A related question

*How many cliques are required?*

## Theorem (Goodman 1959 – Asymptotic Version)

Asymptotically at least 1/4 of all triangles are monochromatic in any 2-edge-coloring.

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## Theorem (Ramsey 1930 – Multicolor Version)

For any  $t_1, \dots, t_c \in \mathbb{N}$  there exists  $R_{t_1, \dots, t_c} \in \mathbb{N}$  s.t. any  $c$ -edge-coloring of  $K_n$  with  $n \geq R_{t_1, \dots, t_c} \in \mathbb{N}$  contains an clique of size  $t_i$  with edges colored  $i$  for some  $1 \leq i \leq c$ .

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## Beyond Goodman's Result

*Notation.* Let  $\mathcal{G}_n = \{G : E(K_n) \rightarrow [c]\}$  denote all  $c$ -edge-colorings of  $K_n$ ,  $G_i$  the subgraph of  $K_n$  given by color  $i$  and  $k_{t_i}(G_i)$  the fraction of  $t_i$ -cliques in  $G_i$ .

### Problem (Ramsey Multiplicity)

What is the value of  $m_{t_1, \dots, t_c} = \lim_n \min_{G \in \mathcal{G}_n} k_{t_1}(G_1) + \dots + k_{t_c}(G_c)$ ?

The success of the binomial random graph for  $m_{3,3}$  lead to the following conjecture.

### Conjecture (Erdős 1962)

$m_{t,t} = 2^{1-\binom{t}{2}}$  for any  $t \geq 2$ .

**False for  $t \geq 4$  (Thomason 1989)**

The exact value of even  $m_{4,4}$  remains unknown with little progress over the last 30 years! We obtain the best current upper and lower bounds.



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## How to blow up colorings

*Notation.* Let  $\mathcal{G}_n^\circ$  denote all  $c$ -colorings of the **looped**  $K_n$  and  $k_{t_i}^\circ(G_i)$  the fraction of **not nec. injective** maps from  $K_{t_i}$  to  $G_i$  that are strong graph homomorphisms.

Proposition (Bounds from any coloring)

We have  $m_{t_1, \dots, t_c} \leq k_{t_1}^\circ(G_1) + \dots + k_{t_c}^\circ(G_c)$  for **any**  $G \in \mathcal{G}^\circ = \bigcup_n \mathcal{G}_n^\circ$ .

*Proof.* The  $m$ -fold blow-up  $G^{\times m} \in \mathcal{G}_{nm}$  of  $G$  is obtained by replacing each vertex  $v$  in  $G$  with  $m$  copies  $v_1, \dots, v_m$  and coloring the edge  $v_i w_j$  with the color of  $vw$  in  $G$ . By definition  $m_{t_1, \dots, t_c} \leq \lim_{m \rightarrow \infty} k_{t_1}^\circ(G_1^{\times m}) + \dots + k_{t_c}^\circ(G_c^{\times m}) = k_{t_1}^\circ(G_1) + \dots + k_{t_c}^\circ(G_c)$ .  $\square$

Corollary (Relating Ramsey numbers and Ramsey multiplicity)

By blowing up Ramsey graphs, we get  $m_{t_1, \dots, t_c} \leq (R_{t_1, \dots, t_{c-1}} - 1)^{1-t_c}$ .

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**Question:** How can we find better candidates for  $G$ ?

## Which colorings to blow up

Theorem (Thomason 1989)

$$m_{4,4} \leq 0.3050 \text{ and } m_{5,5} \leq 0.001770.$$

*Explicit by-hand construction with local search improvements.*

Theorem (Parczyk, Pokutta, S., and Szabó 2022+)

$$m_{4,4} \leq 0.03012 \text{ and } m_{5,5} \leq 0.001707.$$

*Search heuristics over Cayley graphs with specific groups.*

Theorem (Parczyk, Pokutta, S., and Szabó 2022+)

$$m_{3,4} = 689 \cdot 3^{-8} \text{ with stability results.}$$

*Search heuristics over graphs of order 27 found Schläfli graph.*

Stability proves that the search heuristic found a unique global optimum.



## Which colorings to blow up

Theorem (Franek and Rödl 1993)

$$m_{4,4} \leq 0.03052.$$

*Exhaustive search over specific powerset constructions.*

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## Which colorings to blow up

Theorem (Thomason 1997)

$$m_{4,4} \leq 0.03031 \text{ and } m_{5,5} \leq 0.001720.$$

*Exhaustive search over XOR graph products.*

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## Which colorings to blow up

Theorem (Even-Zohar and Linial '15)

$$m_{4,4} \leq 0.03028.$$

*Modifying the construction of Thomason (1997).*

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**Open Problem:** Do we always have  $m_{t_1, \dots, t_c} = \min_{G \in \mathcal{G}^\circ} k_{t_1}^\circ(G_1) + \dots + k_{t_c}^\circ(G_c)$ ?



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## Flag Algebras and their Semantic Cones

Razborov (2007) introduced Flag Algebras to study the limits of discrete objects.

Definition (Flag Algebras for the empty type)

The *flag algebra* (of the empty type)  $\mathcal{A}$  is given by considering  $\mathbb{R}\mathcal{G}$ , factoring out the relations  $\mathcal{K}$  given by the *chain rule* and defining an appropriate product.

We can phrase our problem through conic optimization as

$$\max \left\{ \lambda \in \mathbb{R} : \begin{array}{c} \text{---} \circ \text{---} \circ \text{---} \\ \diagup \quad \diagdown \\ \circ \quad \quad \circ \end{array} + \begin{array}{c} \circ \text{---} \circ \\ \diagup \quad \diagdown \\ \circ \quad \quad \circ \end{array} - \lambda \emptyset \in \mathcal{S} = \{f \in \mathcal{A} : \varphi(f) \geq 0 \text{ for all } \varphi \in \text{Hom}^+(\mathcal{A}, \mathbb{R})\} \right\}$$

where  $\mathcal{S}$  is the *semantic cone* and  $\text{Hom}^+(\mathcal{A}, \mathbb{R}) = \{\varphi \in \text{Hom}(\mathcal{A}, \mathbb{R}) : \varphi|_{\mathcal{G}} \equiv 0\}$ .

Optimizing over the semantic cone is hard.  
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## Leveraging Symmetries

The result of Goodman can be derived from the following SDP:

$$\max_{Q \succeq 0} \min \left\{ 1 - \left\langle Q, \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \right\rangle, - \left\langle Q, \begin{pmatrix} 1/3 & 1/3 \\ 1/3 & 0 \end{pmatrix} \right\rangle, - \left\langle Q, \begin{pmatrix} 0 & 1/3 \\ 1/3 & 1/3 \end{pmatrix} \right\rangle, 1 - \left\langle Q, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \right\rangle \right\} = 1/4.$$

This was obtained through computations on graphs of order  $N = 3$ . Increasing  $N$  generally both improves the bound and makes the SDP harder to solve:

$N$	$value$	$time$	$memory$
6	0.02875	0.2s $\pm 0.0$	81.2MB $\pm 24.7$
7	0.02918	4.9s $\pm 0.1$	126.9MB $\pm 26.3$
8	0.02942	1.8h $\pm 0.1$	1.8GB $\pm 0.0$

Table: Complexity of SDP problem formulations for  $m_{4,4}$  using CSDP

How can we use combinatorial information to reduce these SDP formulations?

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## Bounds through Semidefinite Programming

**Method 1** Reduce the number of constraints and blocks by combining constraints.

$$\max_{Q \succeq 0} \min \left\{ 1 - \left\langle Q, \begin{pmatrix} 1/2 & 0 \\ 0 & 1/2 \end{pmatrix} \right\rangle, - \left\langle Q, \begin{pmatrix} 1/6 & 1/3 \\ 1/3 & 1/6 \end{pmatrix} \right\rangle \right\},$$

Uses that the Ramsey multiplicity is invariant under color permutation. Purely combinatorial proof. *Strictly stronger than considering partitions (Balogh et al. 2017).*

**Method 2** Reduce the number of variables by block diagonalization.

$$\max_{x, y \geq 0} \min \left\{ 1 - \frac{x}{2} - \frac{y}{2}, -\frac{x}{2} + \frac{y}{6} \right\}.$$

Particularly strong when combined with Method 1. Essentially an application of Schur's Lemma. Symmetries are easily determined combinatorially.

*Generalizes the antiinvariant split of Razborov (2010). Similar to diagonalization in SOS literature (Gatermann and Parrilo 2004). See also Bachoc et al. (2012).*

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## Leveraging Symmetries

Theorem (Kiem, Pokutta, S. 2022+)

$m_{4,4} \geq 0.02961$  and  $m_{5,5} \geq 0.001557$  from  $N = 9$ .

Theorem (Cummings et al. 2013)

$m_{3,3,3} = 1/25 = 1/(R_{3,3} - 1)^2$  and the only extremal constructions are based on  $R_{3,3}$ .

Theorem (Kiem, Pokutta, S. 2022+)

$m_{3,3,3,3} = 1/256 = 1/(R_{3,3,3} - 1)^2$  from  $N = 6$ .

**Open Problem:**  $m_{3,\dots,3} = (R_{3,\dots,3} - 1)^{-2}$  for all  $c$ ?

## Leveraging Symmetries

Theorem (Kiem, Pokutta, S. 2022+)

$m_{4,4} \geq 0.02961$  and  $m_{5,5} \geq 0.001557$  from  $N = 9$ .

Theorem (Cummings et al. 2013)

$m_{3,3,3} = 1/25 = 1/(R_{3,3} - 1)^2$  and the only extremal constructions are based on  $R_{3,3}$ .

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$m_{3,3,3,3} \geq 1/256 - \varepsilon$  for some small  $\varepsilon$  from  $N = 6$ .

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**Thank you for your attention!**

## Selected related literature

- Thomason, A. "Graph products and monochromatic multiplicities." *Combinatorica* 17.1 (1997): 125-134.
- Razborov, A. "Flag algebras." *The Journal of Symbolic Logic* 72.4 (2007): 1239-1282.
- Razborov, A. "On 3-hypergraphs with forbidden 4-vertex configurations." *SIAM Journal on Discrete Mathematics* 24.3 (2010): 946-963.
- Cummings, J., et al. "Monochromatic triangles in three-coloured graphs." *Journal of Combinatorial Theory, Series B* 103.4 (2013): 489-503.
- Balogh, J., et al. "Rainbow triangles in three-colored graphs." *Journal of Combinatorial Theory, Series B* 126 (2017): 83-113.
- Gatermann, J., and Parrilo, P.. "Symmetry groups, semidefinite programs, and sums of squares." *Journal of Pure and Applied Algebra* 192.1-3 (2004): 95-128.
- Bachoc, C., et al. "Invariant semidefinite programs." *Handbook on semidefinite, conic and polynomial optimization*. Springer, Boston, MA, 2012. 219-269.

## Proof of Goodman's Result

An **upper bound** follows by considering the sequence of, e.g., (1) evenly-split complete bipartite graphs  $K_{n/2, n/2}$  or (2) binomial random graphs  $G(n, 1/2)$ .

*We saw:* How to generalize the bipartite construction computationally.

A matching **lower bound** can symbolically be derived through

$$\begin{aligned}
 \text{triangle} + \text{triangle} &= \frac{3}{2} \left( \left( \frac{1}{3} \text{triangle} + \text{triangle} \right) + \left( \frac{1}{3} \text{triangle} + \text{triangle} \right) - \frac{1}{3} \right) \\
 &= \frac{3}{2} \left( \left( \text{triangle} + \text{triangle} \right) + \left( \text{triangle} + \text{triangle} \right) - \frac{1}{3} \right) \rightarrow \frac{3}{2} \left( \text{triangle}^2 + \text{triangle}^2 - \frac{1}{3} \right) \\
 &\geq \frac{3}{2} \left( \text{triangle}^2 + \left(1 - \text{triangle}\right)^2 - \frac{1}{3} \right) = 3 \left( \text{triangle} - \frac{1}{2} \right)^2 + \frac{1}{4} \geq \frac{1}{4}.
 \end{aligned}$$

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$$\begin{aligned}
 \begin{array}{c} \circ \\ \diagup \quad \diagdown \\ \circ \quad \circ \\ \diagdown \quad \diagup \\ \circ \end{array} + \begin{array}{c} \circ \\ \diagdown \quad \diagup \\ \circ \quad \circ \\ \diagup \quad \diagdown \\ \circ \end{array} &= \frac{3}{2} \left( \left( \frac{1}{3} \begin{array}{c} \circ \\ \diagup \quad \diagdown \\ \circ \quad \circ \\ \diagdown \quad \diagup \\ \circ \end{array} + \begin{array}{c} \circ \\ \diagdown \quad \diagup \\ \circ \quad \circ \\ \diagup \quad \diagdown \\ \circ \end{array} \right) + \left( \frac{1}{3} \begin{array}{c} \circ \\ \diagdown \quad \diagup \\ \circ \quad \circ \\ \diagup \quad \diagdown \\ \circ \end{array} + \begin{array}{c} \circ \\ \diagup \quad \diagdown \\ \circ \quad \circ \\ \diagdown \quad \diagup \\ \circ \end{array} \right) - \frac{1}{3} \right) \\
 &= \frac{3}{2} \left( \left( \begin{array}{c} \bullet \\ \diagup \quad \diagdown \\ \circ \quad \circ \\ \diagdown \quad \diagup \\ \circ \end{array} + \begin{array}{c} \bullet \\ \diagdown \quad \diagup \\ \circ \quad \circ \\ \diagup \quad \diagdown \\ \circ \end{array} \right) + \left( \begin{array}{c} \bullet \\ \diagdown \quad \diagup \\ \circ \quad \circ \\ \diagup \quad \diagdown \\ \circ \end{array} + \begin{array}{c} \bullet \\ \diagup \quad \diagdown \\ \circ \quad \circ \\ \diagdown \quad \diagup \\ \circ \end{array} \right) - \frac{1}{3} \right) \rightarrow \frac{3}{2} \left( \begin{array}{c} \bullet \\ \vdots \\ \circ \end{array}^2 + \begin{array}{c} \bullet \\ \vdots \\ \circ \end{array}^2 - \frac{1}{3} \right) \\
 &\geq \frac{3}{2} \left( \begin{array}{c} \circ \\ \vdots \\ \circ \end{array}^2 + \left( 1 - \begin{array}{c} \circ \\ \vdots \\ \circ \end{array} \right)^2 - \frac{1}{3} \right) = 3 \left( \begin{array}{c} \circ \\ \vdots \\ \circ \end{array} - \frac{1}{2} \right)^2 + \frac{1}{4} \geq \frac{1}{4}.
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